

# Bleeding Risk and Antithrombotic Strategy in Patients With Sinus Rhythm and Heart Failure With Reduced Ejection Fraction Treated With Warfarin or Aspirin

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## Bleeding Risk and Antithrombotic Strategy in Patients with Sinus Rhythm Heart Failure with Reduced Ejection Fraction Treated with Warfarin or Aspirin

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**Running Title:** WARCEF Bleeding Risk and Antithrombotic Strategy

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**Abstract:**

We sought to assess the performance of existing bleeding risk scores, such as HAS-BLED or OBRI, in patients with heart failure with reduced ejection fraction (HFrEF) in sinus rhythm (SR) treated with warfarin or aspirin. We calculated HAS-BLED and OBRI risk scores for 2,305 patients with HFrEF in SR enrolled in the Warfarin versus Aspirin in Reduced Cardiac Ejection Fraction (WARCEF) trial. Proportional hazards models were used to test whether each score predicted major bleeding, and comparison of different risk scores was performed using Harell's *c*-statistic and net-reclassification improvement (NRI) index. For the warfarin arm, both scores predicted bleeding risk, with OBRI having significantly higher *c*-statistic (0.72 vs 0.61;  $p=0.03$ ) compared to HAS-BLED, though the NRI for comparing OBRI to HAS-BLED was not significant (0.32, 95% CI -0.18-0.37). Performance of the OBRI and HAS-BLED risk scores were similar for the aspirin arm. For participants with OBRI score of 0 to 1, warfarin compared with aspirin reduced ischemic stroke (HR 0.51, 95% CI 0.26-0.98,  $p=0.042$ ) without significantly increasing major bleeding (HR 1.24, 95% CI 0.66-2.30,  $p=0.51$ ). For those with OBRI score of  $\geq 2$ , there was a trend for reduced ischemic stroke with warfarin compared to aspirin (HR 0.56, 95% CI 0.27-1.15,  $p=0.12$ ), but major bleeding was increased (HR 4.04, 95% CI 1.99-8.22,  $p<0.001$ ). In conclusion, existing bleeding risk scores can identify bleeding risk in HFrEF patients in SR, and could be tested for potentially identifying patients with a favorable risk / benefit profile for antithrombotic therapy with warfarin.

**Keywords:** Heart failure, sinus rhythm, warfarin, aspirin, bleeding

## Introduction

Patients with heart failure with reduced ejection fraction (HFrEF) may be at increased risk for ischemic strokes due to left ventricular thrombus formation and subsequent embolism.<sup>1,2</sup> Randomized clinical trials, such as the Warfarin and Antiplatelet Therapy in Chronic Heart Failure (WATCH) trial<sup>3</sup> and the Warfarin versus Aspirin in Reduced Cardiac Ejection Fraction (WARCEF) trial,<sup>4</sup> assessed whether warfarin therapy may benefit HFrEF patients who are in sinus rhythm (SR) and have no other indications for anticoagulation. Although warfarin therapy was found to reduce the risk of ischemic stroke in these trials, it also led to increased rates of major bleeding.<sup>3,4</sup> A better characterization of bleeding risk in HFrEF patients who are in SR may therefore identify a subgroup of these patients who could benefit from anticoagulation. One potential approach is to consider existing bleeding risk scores, such as the HAS-BLED score and the Outpatient Bleeding Risk Index (OBRI), which has been used to predict risk of bleeding in patients receiving anticoagulation.<sup>5-9</sup> The HAS-BLED score, in particular, has demonstrated superior performance compared to other bleeding risk scores in patients with atrial fibrillation,<sup>6,10</sup> while the OBRI has been noted to be simple to calculate and has been validated in outpatients receiving warfarin therapy for any indication.<sup>7,11</sup> However, it is unknown whether these risk scores can also apply to HFrEF patients who are in SR treated with warfarin or aspirin. We therefore undertook the present analysis of the patients enrolled in the WARCEF trial, to determine whether HAS-BLED and OBRI scores predicted bleeding risk in patients with HFrEF who are in SR, and to assess whether the effects of warfarin compared with aspirin varied depending on baseline risk of bleeding in this patient population.

## Methods

The protocol for the randomized, double blinded WARCEF trial  
(<http://www.ClinicalTrials.gov> No. NCT00041938) has been described previously.<sup>4,12</sup> Briefly,

patients with left ventricular ejection fraction (LVEF)  $\leq 35\%$  and who were in SR were randomized to receive warfarin (target INR 2.75, with acceptable target range of 2.0 to 3.5) or aspirin (325mg daily). Additional eligibility criteria included being 18 years or older, having no contraindications to warfarin therapy, having a modified Rankin score of 4 or less (on a scale of 0 to 6, with higher scores indicating more severe disability), and treatment with a beta-blocker, an angiotensin-converting-enzyme (ACE) inhibitor or angiotensin-receptor blocker (ARB), or hydralazine and nitrates. Patients were excluded if they had a clear indication for warfarin or aspirin, or if they had a condition that conferred a high risk of cardiac embolism, such as atrial fibrillation, a mechanical cardiac valve, endocarditis, or an intracardiac mobile or pedunculated thrombus. Patients were also excluded if they were unable to follow an outpatient study protocol, or if they were unable to provide informed consent. Patients in any NYHA functional class were eligible, although patients in NYHA class I could account for no more than 20% of the total sample. A total of 2,305 participants were recruited from 168 centers in 11 countries from October 2002 to January 2010. The investigation conforms with the principles outlined in the Declaration of Helsinki, and the Institutional Review Boards at the coordinating centers for all sites approved the study. All subjects provided informed consent. The maximum follow-up time was 6 years, and the minimum was 1 year.

Major bleeding was defined as intracerebral, epidural, subdural, subarachnoid, spinal intramedullary, or retinal hemorrhage; any other bleeding causing a decline in the hemoglobin level of more than 2 g per deciliter in 48 hours; or bleeding requiring transfusion of 2 or more units of whole blood, hospitalization, or surgical intervention. This definition corresponds closely to the definition for major bleeding recommended by the Internal Society on Thrombosis and Haemostasis.<sup>13</sup> Ischemic stroke was defined as a clinically relevant new lesion detected on computed tomography or magnetic resonance imaging (MRI) or, in the absence of a new lesion,

clinical findings that were consistent with the occurrence of clinical stroke and that lasted for longer than 24 hours. An independent end-point adjudication committee, whose members were unaware of the treatment assignments, adjudicated all bleeding and stroke events.

Demographic characteristics including age, sex, race/ethnicity, and body mass index (BMI) were determined at the initial study visit. Health behaviors were assessed by self-report at time of enrollment, including smoking status and alcohol consumption. Clinical characteristics that were collected include medical comorbidities (atrial fibrillation, hypertension, diabetes mellitus, history of myocardial infarction, and history of ischemic heart disease), history of stroke or transient ischemic attacks (TIAs), presence of liver impairment (defined as having an aspartate aminotransferase [AST] level of 114 IU/L, which represents three times the upper limit of normal), renal impairment (defined as having a creatinine clearance level of <30 ml/min, estimated through the Cockcroft-Gault equation), anemia (defined as having a hematocrit of <30%), left ventricular ejection fraction (measured by quantitative echocardiography or radionuclide or contrast ventriculography), New York Heart Association (NYHA) functional class, and time-in-therapeutic range (calculated as the percentage of days when the INR is between 2.0 to 3.5). Additional details for these measures are as described previously.<sup>4</sup>

For each patient, the HAS-BLED risk score was calculated based on the approach described by Lip and colleagues,<sup>6</sup> with modifications as described below. Because the HAS-BLED risk score was derived in patients on anticoagulation therapy, and because all patients in the WARCEF trial received either aspirin or warfarin but not both concurrently, the item for aspirin use in the HAS-BLED score was coded as 0 for all patients. Furthermore, for patients assigned to aspirin, the item for labile INR in the HAS-BLED score was coded as 0. For liver impairment, based on available data in WARCEF, we used a cut-off for AST level of >114 IU/L. The OBRI score

was similarly calculated for each patient using the approach described by Beyth and colleagues.<sup>7</sup>

A brief summary of how HAS-BLED and OBRI risk scores were calculated is provided in **Table 1**.

Because bleeding risk is expected to differ for patients receiving warfarin and aspirin, we performed all analyses separately for the warfarin and aspirin arms of the WARCEF trial on an intent-to-treat (ITT) basis. For each arm, baseline demographic and clinical characteristics were compared between patients who had a major bleeding event during follow-up versus those who did not, using chi-squared tests for categorical variables and student's t-tests for continuous variables. We further tabulated the proportion of participants with and without a major bleeding event by HAS-BLED and OBRI scores.

To assess whether HAS-BLED and OBRI scores were predictive of major bleeding, we constructed separate Cox proportional hazards models with the time to first major bleeding as the outcome and HAS-BLED or OBRI scores as ordinal predictor variables. We performed statistical testing for trend of increased risk of major bleeding associated with increased HAS-BLED or OBRI scores. We then calculated the c-statistics and the associated 95% confidence intervals (CI) for each proportional hazards model using the R software package "survcomp". We also compared ability of HAS-BLED and OBRI scores to classify bleeding risk for each arm by calculating the net reclassification improvement (NRI) index and its associated 95% CIs, using the R software package "nricens" as per the methods described by Pencina and colleagues.<sup>14</sup> Because the NRI is sensitive to the selection of cut-offs for defining risk categories,<sup>15</sup> we used a two-category (i.e., high risk of bleeding versus low risk of bleeding) approach to calculate the NRI, based on the empirically observed rates of major bleeding across HAS-BLED and OBRI scores in the WARCEF trial.

We further constructed Cox proportional hazards models to assess the effect of warfarin versus aspirin on clinical outcomes, including death or ischemic stroke, ischemic stroke, and



major bleeding, for subgroups of WARCEF participants defined by high versus low bleeding risk through HAS-BLED and OBRI scores. We also tested for interactions between treatment groups and bleeding risk for the above outcomes. P-values of  $<0.05$  were considered statistically significant for all testing. All statistical analyses were conducted using SAS version 9.3 (SAS Institute, Cary, NC) or R version 3.0.1 (R Foundation for Statistical Computing, Vienna, Austria, 2013).

## Results

The baseline characteristics of WARCEF participants by treatment group and bleeding risk are presented in **Table 2**. Of the 1,142 patients randomized to warfarin therapy, 66 (5.8%) experienced at least one major bleeding event. Those who experienced major bleeding were more likely to be 65 years or older, to be female, and to have renal impairment. For those randomized to aspirin, 31 (2.7%) of 1,163 patients had at least one major bleeding event, with those with major bleeding more likely to have lower BMI, to have had a prior stroke or TIA, to have renal impairment, and to have a previous history of alcohol use.

The distribution of HAS-BLED and OBRI scores for patients with and without major bleeding were calculated for each arm of the WARCEF trial (**Table 3** and **Figure 1**). The proportion of patients who had any major bleeding on warfarin therapy was 5.3% for those with a HAS-BLED score of 0, increasing to 12.0% for those with a HAS-BLED score of 4 or above ( $p=0.015$  for trend). For those randomized to aspirin, the proportion of patients who had any major bleeding was 2.0% for those with a HAS-BLED score of 0, increasing to 6.3% for those with a HAS-BLED score of 4 or above ( $p=0.04$  for trend; **Figure 1, top panel**). Similarly, the proportion of patients who had any major bleeding on warfarin therapy was 4.0% for those with an OBRI score of 0, but was over 10% for those with an OBRI score of 2 or 3 ( $p=0.01$  for trend; **Figure 1,**

**bottom panel**). For patients randomized to aspirin, the proportion of those who had any major bleeding ranged from 2.6% for those with an OBRI score of 0 to 6.1% for those with an OBRI score of 3, but the increase was not statistically significant ( $p=0.38$  for trend). Based on these results, for subsequent analyses, we defined a patient as having high risk of bleeding as having a score of 2 or above for OBRI and a score of 3 and above for HAS-BLED.

A comparison of the performance of HAS-BLED and OBRI scores for predicting time to the first major bleeding event is presented in **Table 4**. For the warfarin arm, the c-statistic for the OBRI score was 0.72 (95% CI, 0.62-0.81), which was significantly superior ( $p=0.003$ ) to the c-statistic for the HAS-BLED score, although the NRI for comparing the OBRI to HAS-BLED was not significant (0.32, 95% CI -0.18-0.37). For patients randomized to aspirin, the c-statistics for HAS-BLED and OBRI scores were similar, and the NRI for the OBRI score compared to the HAS-BLED score was not significant.

The treatment effect of warfarin versus aspirin on clinical outcomes and its interaction with major bleeding risk (categorized by OBRI and HAS-BLED scores) are presented in **Figure 2**. For the outcome of major bleeding, there was a significant interaction between the effect of warfarin versus aspirin and bleeding risk according to the OBRI score ( $p=0.006$ ). Patients classified as high bleeding risk by an OBRI score of  $\geq 2$  had increased risk of major bleeding with warfarin compared with aspirin (hazard ratio [HR] 4.04, 95% CI 1.99-8.22,  $p<0.001$ ), while bleeding risk was similar for warfarin versus aspirin in those classified as low bleeding risk by an OBRI score of 0 to 1 (HR 1.24, 95% CI 0.66-2.30;  $p=0.51$ ). There was no significant interaction between warfarin versus aspirin and bleeding risk by the HAS-BLED score ( $p=0.89$ ), though warfarin compared with aspirin significantly increased major bleeding in the subgroup with low bleeding risk identified a HAS-BLED score of 0 to 2 (HR 2.04, 95% CI 1.19-3.48;  $p=0.009$ ).

For the outcome of ischemic stroke, there was no significant interaction between warfarin versus aspirin and bleeding risk defined by either bleeding risk scores ( $p=0.93$  for OBRI and  $0.48$  for HAS-BLED). The effect of warfarin versus aspirin was similar across subgroups of bleeding risk, with warfarin significantly reducing ischemic strokes in patients classified as low bleeding risk by either score (**Figure 2**). For the composite outcome of death or ischemic stroke, the effect of warfarin versus aspirin was similar and non-significant across all bleeding risk subgroups, and there was no significant interaction between treatment assignment and bleeding risk subgroups identified with either score.

## Discussion

In this retrospective analysis of patients enrolled in the WARCEF trial, we found that predictors of major bleeding differed between patients receiving warfarin and aspirin, suggesting that bleeding risk is affected by both anti-thrombotic choice and patient characteristics. Importantly, we confirmed that the HAS-BLED and OBRI bleeding risk scores can be used to predict major bleeding in HFrEF patients who are in SR. For patients assigned to warfarin, the OBRI score demonstrated superior discrimination to HAS-BLED, though the NRI for comparing the OBRI score to HAS-BLED was not significant, which may have been due to the relatively low number of bleeding events. For patients assigned to aspirin, the HAS-BLED risk score, but not OBRI, was predictive of major bleeding. Furthermore, we found that the increase in major bleeding for warfarin compared with aspirin varied by subgroups identified by the OBRI score, and that for WARCEF participants with an OBRI score of 0 or 1, warfarin reduced the risk of ischemic stroke but did not increase the risk of major bleeding.

Given the continued interest in identifying subgroups of heart failure patients that may benefit from anticoagulation,<sup>16</sup> our analysis to assess bleeding risk in HFrEF patients who are in

SR is a timely one. Previously published bleeding risk scores, such as the HAS-BLED and OBRI, were derived and validated in cohorts of patients with indications for anticoagulation such as atrial fibrillation or venous thromboembolism.<sup>6,7</sup> Although the WARCEF trial excluded such patients, the performance of the HAS-BLED and OBRI scores are comparable to these earlier reports. For example, in an analysis of the Stroke Prevention Using an ORal Thrombin Inhibitor in Atrial Fibrillation (SPORTIF) III and V trials, Lip and colleagues reported that the *c*-statistics of previously reported bleeding risk scores, including the HAS-BLED and OBRI, ranged from 0.49 to 0.65 in a cohort of patients receiving warfarin or ximelagatran.<sup>6</sup> Similarly, in another report by Burgess and colleagues, *c*-statistics for various published bleeding risk scores ranged from 0.61-0.74 for a real-world cohort of patients on warfarin therapy for diverse indications.<sup>17</sup> The *c*-statistic of 0.72 for the OBRI risk score for WARCEF participants assigned to warfarin therapy is therefore near the upper range of what has been reported previously, and suggests that the OBRI score may be a useful prediction tool to characterize risk of major bleeding for this patient population.

We also assessed the effect of warfarin versus aspirin on clinical outcomes for subgroups of WARCEF patients stratified by predicted bleeding risk. We demonstrated that among patients having an OBRI risk score of 0 or 1, who accounted for more than half of all ischemic strokes observed during the WARCEF follow-up period, warfarin therapy reduced the risk of ischemic stroke compared with aspirin but did not significantly increase the risk of major bleeding. These results are broadly consistent with our previous subgroup analysis showing that younger patients (age <60 years) enrolled in the WARCEF trial had reduced risk of death, ischemic stroke, or intracranial hemorrhage with warfarin therapy compared to aspirin.<sup>18</sup> In our current analysis, WARCEF participants with an OBRI risk score of 0 or 1 included most patients younger than 60 years, but also many patients who are older. Taken together, this subgroup

consists of nearly two thirds of all patients enrolled in the WARCEF trial and accounts for more than half of all ischemic stroke events observed during the follow-up period. The findings from the present analysis therefore provide important insights for predicting the potential outcomes of antithrombotic therapies for a large number of patients with HFrEF who are in SR.

Our findings also raise the possibility of using bleeding risk scores to inform patient selection for subsequent trials of novel anticoagulants. Previous studies have often described a positive correlation between risk of stroke and risk of bleeding in patients who are candidates for oral anticoagulation therapy, as many risk factors, such as advanced age and hypertension, are shared by both of these adverse outcomes.<sup>19,20</sup> This overlap is a major challenge for the use of bleeding risk scores as a part of a personalized approach for choosing anticoagulation strategy, as patients identified as having high risk of stroke also often have high risk of bleeding.<sup>21</sup> Our results address this challenge by showing that predicted bleeding risk could play an important role in patient selection for consideration of antithrombotic therapy. Although warfarin compared with aspirin reduced ischemic strokes in WARCEF participants with both high and low predicted bleeding risk, the significant interaction between treatment assignment and OBR1 risk categories for the outcome of major bleeding suggests that patients at low predicted bleeding risk may avoid increased bleeding with anticoagulation. Given the emergence of new non-vitamin K oral anticoagulants that have demonstrated favorable safety and efficacy profiles compared to warfarin,<sup>22</sup> these findings may be useful for the design of future trials investigating the role of these agents for ischemic stroke prevention in HFrEF patients in SR.

There are a number of limitations to our study. Our retrospective analysis of the WARCEF trial had only a modest number of stroke and bleeding events, and our findings therefore are necessarily hypothesis generating and will require confirmation. Highlighting the challenges of applying existing risk scores to new populations and datasets, we made slight

modifications to the HAS-BLED score to apply it to the WARCEF population. Our analysis of the performance of the HAS-BLED and OBRI bleeding risk scores will thus require further validation in independent cohorts of HFrEF patients who are in SR. However, while a new risk score derived from WARCEF data may better predict bleeding risk and estimate treatment effect sizes for this patient population, it is also likely that a well-performing, previously established risk score accepted by clinicians and practice guidelines will have broader impact.<sup>23</sup> Finally, since the WARCEF trial only enrolled patients with HFrEF who are in SR, our findings may not be applicable to other subgroups of heart failure patients.

**Relationships with Industry:**

Dr. Homma reports receiving payment from AGA Medical (now St. Jude Medical) for his work as a member of a data and safety monitoring board and consulting fees from Boehringer Ingelheim; Dr. Levin, receiving consulting fees from United Healthcare; Dr. Teerlink, receiving consulting fees/ grant support from Amgen, Corthera, Cytokinetics, NovaCardia/Merck and Novartis on behalf of himself and his institution; Dr. Graham, owning stock in March Pharmaceuticals, Medtronic, and Pfizer; Dr. Labovitz, receiving grant support from Boehringer Ingelheim on behalf of his institution, lecture fees from Boehringer Ingelheim, and fees for the development of educational presentations from the American College of Cardiology; Dr. Anker, receiving consulting fees from Amgen, Bosch Healthcare, GlaxoSmithKline, Helsinn, LoneStar Heart, Novartis, Professional Dietetics, PsiOxus, Relypsa, SHL Telemedicine, and Thermo Fisher, grant support from Vifor Pharma, and lecture fees from Novartis, holding patents with Brahms AG and Charité Berlin, and receiving royalties from Imperial College; Dr. Ponikowski, receiving consulting fees from Bayer, Boehringer Ingelheim, Coridea, Corthera, Johnson & Johnson, Pfizer, Respicardia, and Vifor Pharma, grant support from Vifor Pharma on behalf of himself and his institution, and lecture fees from Abbott, Boehringer Ingelheim, Merck Serono, Pfizer, Respicardia, Sanofi-Aventis, Servier, and Vifor Pharma; and Dr. Lip, receiving consulting fees from Astellas, AstraZeneca, Bayer, Biotronik, Boehringer Ingelheim, Bristol-Myers Squibb, Pfizer, Merck, Portola, and Sanofi-Aventis, speakers bureau fees from Bayer, Bristol-Myers Squibb, Pfizer, Boehringer Ingelheim, and Sanofi-Aventis, and payment for the development of educational presentations from Bayer, Boehringer Ingelheim, and Merck. No other potential conflict of interest relevant to this article was reported.

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## Figure Legends

**Figure 1. Proportion of patients with major bleedings, by HAS-BLED and OBRI risk scores and by treatment arm.**

P-values for trend were calculated using Cox proportional hazards models.

**Figure 2. The effect of warfarin versus aspirin on clinical outcomes for subgroups of WARCEF participants stratified by OBRI and HAS-BLED risk scores.**

P-values represent results of tests of interaction between treatment assignment and bleeding risk subgroups identified by OBRI and HAS-BLED risk score.

**Table 1.** Calculation of the HAS-BLED and OBRI risk scores. All risk factors are scored as 1 point each unless otherwise noted. Because the HAS-BLED risk score was derived in patients on anticoagulation therapy, and because no patient in warfarin received both aspirin and warfarin concurrently, aspirin use in the HAS-BLED score was coded as 0 in this analysis. Similarly, for patients assigned to aspirin, the item for labile INR in the HAS-BLED score was coded as 0 for these patients. For abnormal liver function, based on available data in WARCEF, a cut-off for AST level of >114 IU/L was used.

HAS-BLED Risk Score	OBRI Risk Score
Hypertension (SBP >160 mmHg)	Age >65 years
Abnormal renal/ liver function (1 point for each)	History of stroke
Stroke	History of GIB
Bleeding	Recent MI, HCT <30%, Cr >1.5 mg/dL, or diabetes mellitus
Labile INRs (TTR <60%)	
Elderly (age >65 years)	
Drugs (antiplatelet agents / NSAIDs) or excess alcohol (1 point for each)	

Abbreviations: SBP, systolic blood pressure; TTR, time in therapeutic range; NSAID, non-steroidal anti-inflammatory drugs; GIB, gastrointestinal tract bleeding; MI, myocardial infarction; HCT, hematocrit; Cr, creatinine.

**Table 2.** Characteristics of WARCEF participants by warfarin versus aspirin and by major bleeding status during follow-up.

Variable	Warfarin			Aspirin		
	Major bleeding (n=66)	No major bleeding (n=1076)	p-value	Major bleeding (n=31)	No major bleeding (n=1132)	p-value
Age >65 yrs old	36 (55%)	358 (33%)	<b>&lt; 0.001</b>	13 (42%)	389 (34%)	0.420
Women	21 (32%)	215 (20%)	<b>0.021</b>	8 (26%)	216 (19%)	0.349
Non-Hispanic white	45 (68%)	814 (76%)	0.182	24 (77%)	855 (76%)	0.866
Non-Hispanic black	9 (14%)	157 (15%)		5 (16%)	161 (14%)	
Hispanic	9 (14%)	76 (7%)		1 (3%)	80 (7%)	
Other	3 (6%)	29 (3%)		1 (3%)	36 (3%)	
Body mass index (kg/m <sup>2</sup> )			0.228			<b>&lt;0.001</b>
< 25	22 (33%)	279 (26%)		16 (52%)	263 (23%)	
25-30	26 (39%)	400 (37%)		4 (13%)	452 (40%)	
> 30	18 (27%)	397 (37%)		11 (35%)	417 (37%)	
Hypertension	37 (59%)	634 (61%)	0.732	18 (62%)	678 (62%)	0.967
Diabetes mellitus	23 (35%)	348 (32%)	0.673	7 (23%)	344 (30%)	0.350
Atrial fibrillation	2 (3%)	42 (4%)	0.721	0 (0%)	42 (4%)	0.275
Myocardial infarction	33 (50%)	516 (48%)	0.747	12 (39%)	551 (49%)	0.273
Ischemic heart disease	33 (50%)	455 (42%)	0.219	9 (29%)	494 (44%)	0.105
Prior stroke or TIA	14 (21%)	141 (13%)	0.062	8 (26%)	131 (12%)	<b>0.016</b>
Renal impairment	4 (6%)	22 (2%)	<b>0.033</b>	2 (6%)	16 (1%)	<b>0.026</b>
Liver impairment	2 (3%)	39 (4%)	0.321	2 (6%)	36 (3%)	0.646
Anemia, defined as hematocrit < 30%	1 (2%)	3 (0.3%)	0.106	0 (0%)	3 (0.3%)	0.777

Smoking status			0.549			0.347
Current	10 (15%)	203 (19%)		6 (19%)	189 (17%)	
Former	38 (58%)	547 (51%)		19 (61%)	585 (52%)	
Never	18 (27%)	326 (30%)		6 (19%)	358 (32%)	
Alcohol consumption			0.240			<b>0.025</b>
Current, > 2oz/day	17 (26%)	262 (24%)		6 (19%)	287 (25%)	
Previous, >2oz/day	9 (14%)	241 (22%)		13 (42%)	243 (21%)	
Never	40 (60%)	573 (53%)		12 (39%)	602 (53%)	
NYHA classification			0.898			0.916
I	8 (12%)	146 (14%)		5 (16%)	163 (14%)	
II	35 (53%)	596 (55%)		18 (58%)	643 (57%)	
III	22 (33%)	324 (30%)		8 (26%)	311 (27%)	
IV	1 (2%)	10 (1%)		0 (0%)	15 (1%)	
LV ejection fraction (%)	25.3±7.3	24.5±7.5	0.426	24.7±6.1	24.8±7.6	0.932
TTR <60%	33 (52%)	465 (46%)	0.349	NA	NA	NA

Renal impairment is defined as creatinine clearance of <30 ml/min; liver impairment is defined as AST level of >114 IU/L, which represents three times the upper limit of normal

Abbreviations: TIA, transient ischemic attack; NYHA, New York Heart Association; TTR, time in therapeutic range.



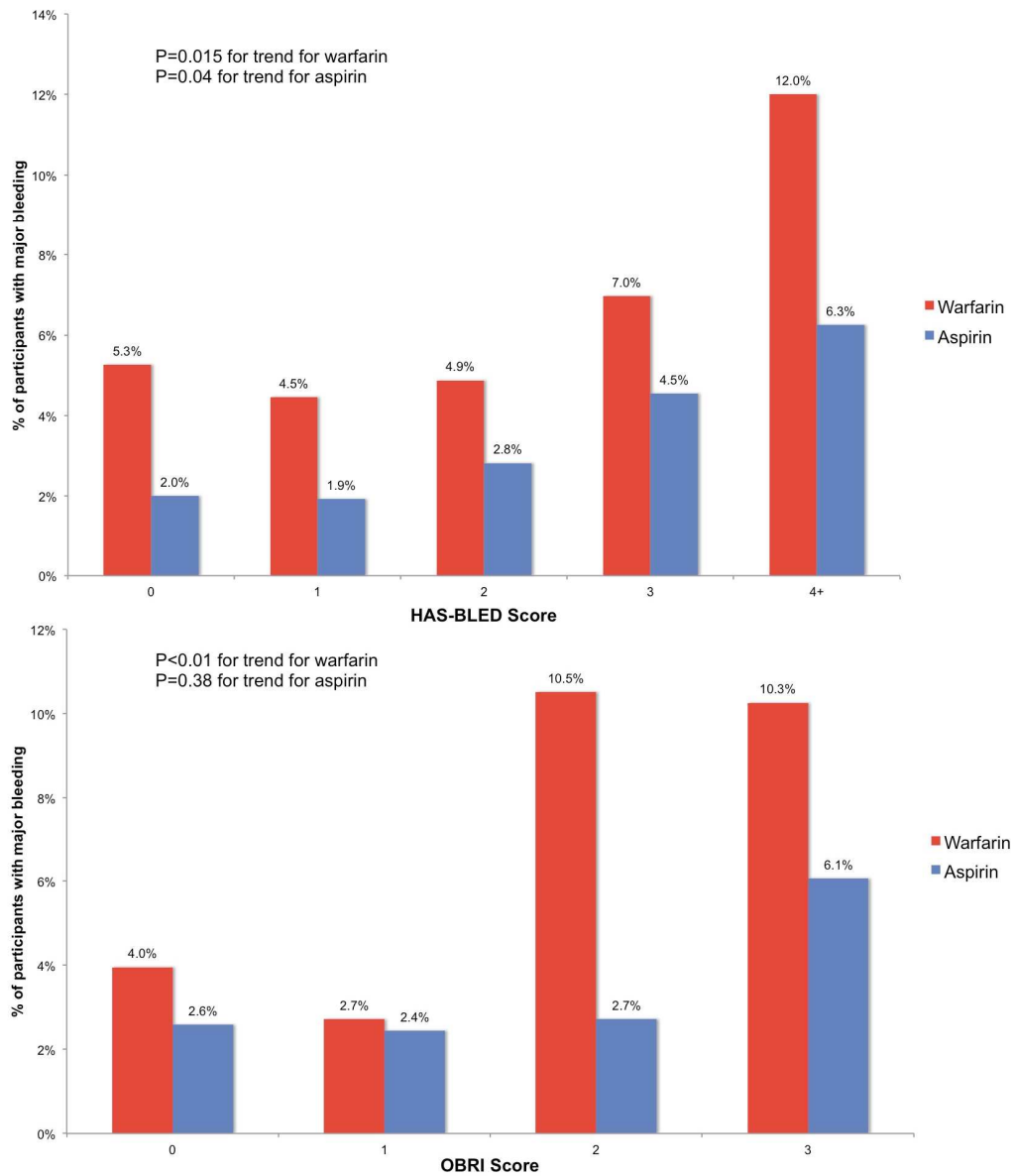
**Table 3.** WARCEF participants by warfarin versus aspirin and by bleeding risk according to the HAS-BLED and OBRI scores. Numbers in parentheses represent column percents.

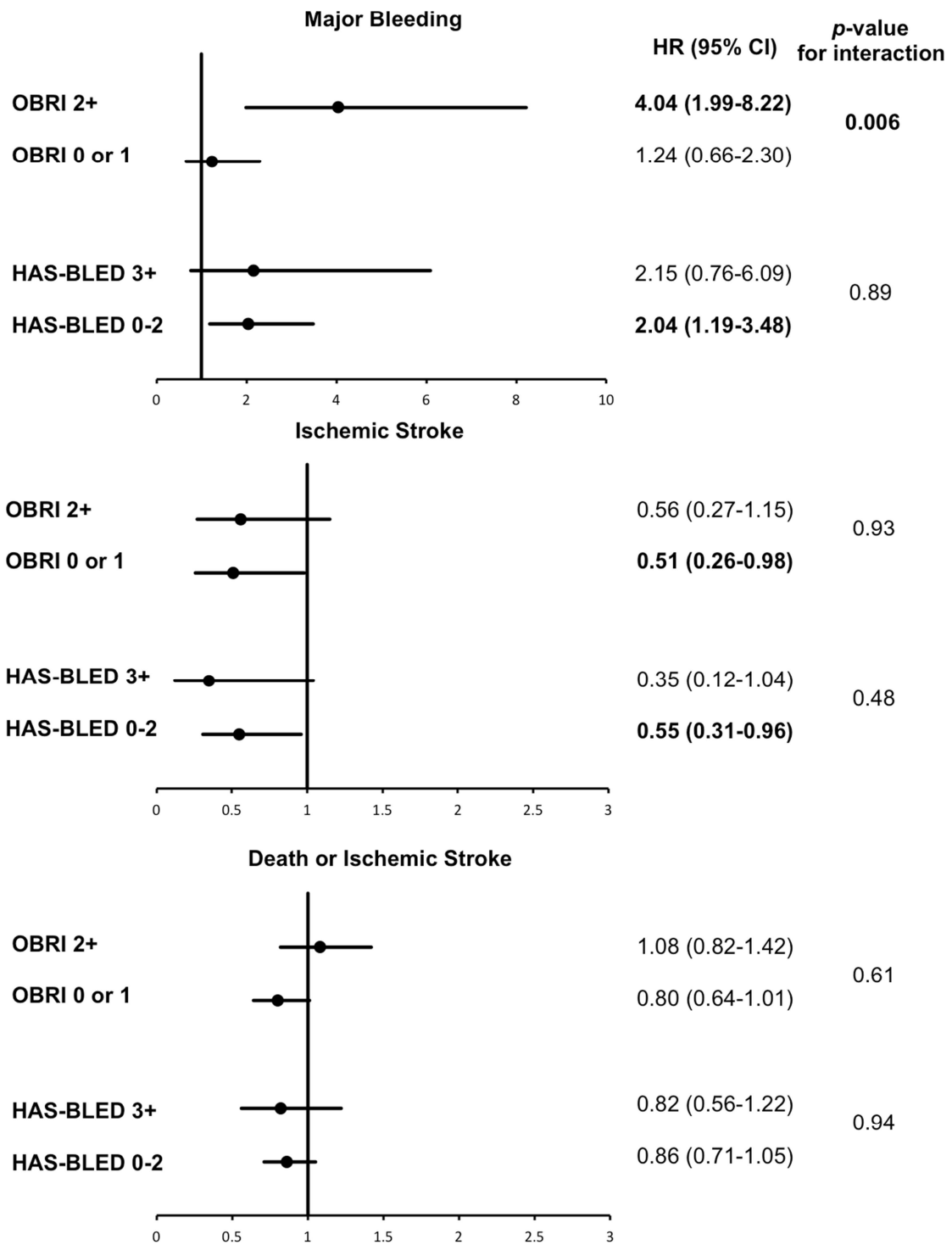
Risk scores	Warfarin			Aspirin		
	All patients (n=1142)	Major bleeding (n=66)	% with major bleeding	All patients (n=1163)	Major bleeding (n=31)	% with major bleeding
<b>HAS-BLED</b>						
<b>0</b>	76 (7%)	4 (6%)	5.3%	150 (13%)	3 (10%)	2.0%
<b>1</b>	314 (28%)	14 (21%)	4.5%	416 (36%)	8 (26%)	1.9%
<b>2</b>	390 (34%)	19 (29%)	4.9%	427 (37%)	12 (39%)	2.8%
<b>3</b>	287 (25%)	20 (30%)	7.0%	154 (13%)	7 (23%)	4.5%
<b>4</b>	68 (6%)	8 (12%)	11.8%	16 (1%)	1 (3%)	6.3%
<b>5</b>	7 (1%)	1 (2%)	14.3%	0 (0%)	0 (0%)	NA
<b>Risk category</b>						
<b>0 to 2</b>	780 (68%)	37 (56%)	4.7%	993 (86%)	23 (74%)	2.3%
<b>≥3</b>	362 (32%)	29 (44%)	8.0%	170 (14%)	8 (26%)	4.7%
<b>OBRI</b>						
<b>0</b>	253 (22%)	10 (15%)	4.0%	271 (23%)	7 (23%)	2.6%
<b>1</b>	479 (42%)	13 (20%)	2.7%	491 (42%)	12 (39%)	2.4%
<b>2</b>	371 (32%)	39 (59%)	10.5%	368 (32%)	10 (32%)	2.7%
<b>3</b>	39 (3%)	4 (6%)	10.3%	33 (3%)	2 (6%)	6.1%
<b>Risk category</b>						
<b>0 to 1</b>	732 (64%)	23 (35%)	3.1%	762 (23%)	19 (61%)	2.5%
<b>≥2</b>	410 (36%)	43 (65%)	10.5%	403 (32%)	12 (39%)	3.0%

**Table 4.** Comparison of the HAS-BLED and OBRI risk scores for predicting major bleeding in WARCEF participants receiving warfarin or aspirin.

	<b>c-statistic for HAS-BLED</b>	<b>c-statistic for OBRI</b>	<b>p-value for difference in c-statistics</b>	<b>NRI</b>
<b>Warfarin</b>	0.61 (0.51-0.70)	0.72 (0.62-0.81)	<b>0.03</b>	0.32 (-0.18-0.37)
<b>Aspirin</b>	0.63 (0.50-0.77)	0.58 (0.43-0.71)	0.50	0.13 (-0.19-0.15)

Abbreviations: NRI, net reclassification index. For NRI, the directions of comparisons are for OBRI versus HAS-BLED.





## Supplementary webappendix

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